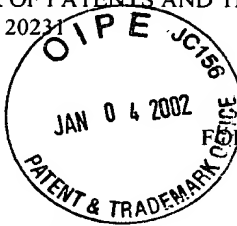


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LIST OF PATENTS AND PUBLICATIONS
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Sheet 1 of 5

In the Application of CHEN et al.

Serial No.: 09/710,104

Art Unit: 1648

Filed: 9 November 2000

Examiner: S. Brown

Title: INDUCTION OF MUCOSAL IMMUNITY BY VACCINATION VIA THE SKIN ROUTE

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
SAB	AA-1	4,945,050	7/31/90	Sanford et al.	435	172.1	
SAB	AB-1	5,100,792	3/31/92	Sanford et al.	435	172.1	
SAB	AC-1	5,120,657	6/9/92	McCabe et al.	435	287	
SAB	AD-1	5,149,655	9/22/92	McCabe et al.	435	287	
SAB	AE-1	5,204,253	4/20/93	Sanford et al.	435	604 287	172.3
SAB	AF-1	5,346,481	9/13/94	Bunin	604	204	
SAB	AG-1	5,503,632	4/2/96	Haak	604	424 287	20
SAB	AH-1	5,569,468	10/29/96	Modi	424	491	
SAB	AI-1	5,584,807	12/17/96	McCabe	604	71	
SAB	AJ-1	5,589,466	12/31/96	Felgner et al.	514	44	
SAB	AK-1	5,630,796	5/20/97	Bellhouse et al.	604	49	
SAB	AL-1	5,718,913	2/17/98	Dhuique-Mayer et al.	424	449	
SAB	AM-1	5,738,852	4/14/98	Robinson et al.	424	199.1	
SAB	AN-1	5,780,448	7/14/98	Davis	514	44	
SAB	AO-1	5,785,688	7/28/98	Joshi et al.	604	141	
SAB	AP-1	5,840,336	11/24/98	Hsu et al.	424	484	
SAB	AQ-1	5,865,796	2/2/99	McCabe	604	71	
SAB	AR-1	5,871,460	2/16/99	Phipps et al.	604	20	
SAB	AS-1	5,871,751	2/16/99	Christensen et al.	424	234.1	

Examiner:

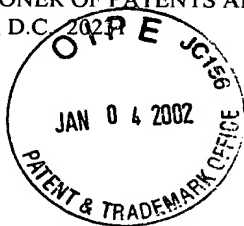
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Title: INDUCTION OF MUCOSAL IMMUNITY BY VACCINATION VIA THE SKIN ROUTE

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
82B	AT-1	5,900,238	5/4/99	Gombotz et al.	424	184.1	
82B	AU-1	5,919,463	4/6/99	Thomas, Jr. et al.	424	239.1	
82B	AV-1	5,968,006	10/19/99	Hofmann	604	20	
82B	AW-1	5,983,130	11/9/99	Phipps et al.	604	20	
82B	AX-1	5,989,586	11/23/99	Hsu et al.	424	449	
82B	AY-1	6,008,200	12/28/99	Krieg	514	44	
82B	AZ-1	6,009,345	12/28/99	Hofmann	604	20	
82B	AA-2	6,010,705	1/4/2000	Thune et al.	424	234.1	
82B	AB-2	6,049,733	4/11/2000	Phipps et al.	604	20	
82B	AC-2	6,168,587	1/2/01	Bellhouse et al.	604	522	
82B	AD-2	6,194,389	2/27/01	Johnston et al.	514	44	

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Serial No.: 09/710,104

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Filed: 9 November 2000

Examiner: S. Brown

Title: INDUCTION OF MUCOSAL IMMUNITY BY VACCINATION VIA THE SKIN ROUTE

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation	
							YES	NO
SB	AE-2	WO 94/24263	10/27/94	PCT	—	—		
SB	AF-2	WO 96/02555	2/1/96	PCT	—	—		
SB	AG-2	WO 96/04947	2/22/96	PCT	—	—		
SB	AH-2	WO 96/12513	5/2/96	PCT	—	—		
SB	AI-2	WO 96/14855	5/23/96	PCT	—	—		
SB	AJ-2	WO 96/20022	7/4/96	PCT	—	—		
SB	AK-2	WO 97/44446	11/27/97	PCT	—	—		
SB	AL-2	WO 97/44446	12/24/97	PCT	—	—		
SB	AM-2	WO 98/10750	3/19/98	PCT	—	—		
SB	AN-2	WO 98/20734	5/22/98	PCT	—	—		
SB	AO-2	WO 99/27961	6/10/99	PCT	—	—		
SB	AP-2	WO 99/33488	7/8/99	PCT	—	—		

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Filed: 9 November 2000

Examiner: S. Brown

Title: INDUCTION OF MUCOSAL IMMUNITY BY VACCINATION VIA THE SKIN ROUTE

OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
SJB	AQ-2	Lobb, C.J. (1987) <i>Developmental and Comparative Immunology</i> <u>11</u> :727-738 (abstract only)
SJB	AR-2	Hart et al. (1988) <i>Developmental and Comparative Immunology</i> <u>12</u> :453-480 (abstract only)
SJB	AS-2	Dickerson et al. (1988) <i>Immunological Reviews</i> <u>166</u> :377-384 (abstract only)
SJB	AT-2	Orkin et al. (1995) "Report and Recommendations of the Panel to Assess the NIH Investment in Research on Gene Therapy"
SJB	AU-2	Paul et al. (1995) <i>Eur. J. Immunology</i> <u>25</u> :3521-3524
SJB	AV-2	Krieg et al. (1995) <i>Nature</i> <u>374</u> :546-549
SJB	AW-2	Sato et al. (1996) <i>Science</i> <u>273</u> :352-354
SJB	AX-2	Wooldridge et al. (1997) <i>Blood</i> <u>89</u> :2994-2998
SJB	AY-2	Klinman, D.M. (1998) <i>Antisense and Nucleic Acid Drug Development</i> <u>8</u> :181-184
SJB	AZ-2	Krieg, A. (1998) <i>Biodrugs</i> <u>10</u> :341-346
SJB	AA-3	MacFarlane et al. (1998) <i>J. Immunology</i> <u>160</u> :1122-1131
SJB	AB-3	Glenn et al. (1998) <i>J. Immunology</i> <u>161</u> :3211-3214 (abstract only)
SJB	AC-3	Guy et al. (1998) <i>Vaccine</i> <u>16</u> :850-856 (abstract only)
SJB	AD-3	McCluskie et al. (1998) <i>J. Immunology</i> <u>161</u> :4463-4466

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Title: INDUCTION OF MUCOSAL IMMUNITY BY VACCINATION VIA THE SKIN ROUTE

Exam. Init.	Ref. Desig.	Description
SSB	AE-3	Sparwasser et al. (1998) <i>J. Immunology</i> <u>28</u> :2045-2054
SSB	AF-3	Porter et al. (1998) <i>Archives of Virology</i> <u>143</u> :997-1003
SSB	AG-3	Moldoveanu et al. (1998) <i>Vaccine</i> <u>16</u> :1216-1224
SSB	AH-3	Paul et al. (1998) <i>Vaccine</i> <u>16</u> :188-195
SSB	AI-3	Spiegelberg et al. (1998) <i>Allergy</i> <u>53</u> :93-97
SSB	AJ-3	Weiner et al. (1998) <i>Proceedings of the American Association of Cancer Research</i> <u>39</u> :551, col. 1, abstract number 3747
SSB	AK-3	Vinner et al. (1999) <i>Vaccine</i> <u>17</u> :2166-2175
SSB	AL-3	McCluskie et al. (1999) <i>Critical Reviews in Immunology</i> <u>19</u> :303-329
SSB	AM-3	Scharton-Kersten et al. (1999) <i>Vaccine</i> <u>17</u> (suppl. 2):S37-S43
SSB	AN-3	McCluskie et al. (1999) <i>Vaccine</i> <u>18</u> :231-237
SSB	AO-3	Glenn (1999) <i>Infection and Immunity</i> <u>67</u> :1100-1106
SSB	AP-3	Glenn et al. (1999) "Advances in vaccine delivery: transcutaneous immunisation," <i>Expert Opinion On Investigational Drugs</i> <u>8</u> (6):797-805
SSB	AQ-3	Babiuk et al. (2000) <i>J. Controlled Release</i> <u>66</u> :199-214

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